



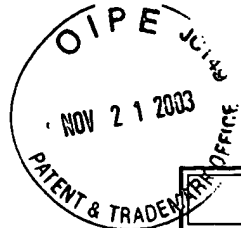
Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

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Application ID: 10613678  
Title of Invention: Epidural thermal posterior  
annuloplasty  
First Named Inventor: Darrell Brett  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2003-11-22  
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Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 7367  
Attorney Docket Number: ExpC:EptaRib




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Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: c73147f537b4ea4aa9e6c660aa2d46c847f44487



TRANSMITTAL

Electronic Version v1.1  
Stylesheet Version v1.1.0

Title of Invention	Epidural termal posterior annuloplasty									
Application Number: 10/613678 										
Date: 2003-11-22										
First Named Applicant: Dr. Darrell C. Brett										
Confirmation Number: 7367										
Attorney Docket Number: ExpC:EptaRib										
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Mrs. Karen Dana Oster Registered Number: 37621</td><td>Mrs. Karen Dana Oster</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Mrs. Karen Dana Oster Registered Number: 37621	Mrs. Karen Dana Oster	Attorney		
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Mrs. Karen Dana Oster Registered Number: 37621	Mrs. Karen Dana Oster	Attorney								
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>ExpC-EptaRib1-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-ids	ExpC-EptaRib1-usidst.xml		us-ids.dtd		us-ids.xsl
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<p>Comments</p> <p>Applicant submits herewith copies of patents and other art of which he is aware and</p>										

which he desires to have considered by the Patent Office in accordance with 37 CFR Section 1.97. In accordance with 37 CFR Section 1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits. In accordance with 37 CFR Section 1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or patents, or other art referred to herein is, or is considered to be, material to patentability under 37 CFR Section 1.56(b) unless specifically designated as such. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in the file. The Commissioner is hereby authorized to charge any additional fee required or credit any overpayments to Deposit Account Number 50-2115.



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

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<p>Application Number: 10/613678</p> <p>Confirmation Number: 7367</p> <p>First Named Applicant: Darrell Brett</p> <p>Attorney Docket Number: ExpC:EptaRib</p> <p>Art Unit: 3739</p> <p>Search string: ( 3526219 or 4191191 or 4536180 or 4735605 or 4886491 or 5419761 or 5499991 or 5569291 or 5584847 or 5690664 or 5797944 or 5810863 or 5911700 or 5980493 or 6078842 or 5778894 or 6142955 or 6152894 or 6231514 or 6503263 ).pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>3526219</td><td>1970-09-01</td><td>Balamuth</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>4191191</td><td>1980-03-04</td><td>Auburn</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>4536180</td><td>1985-08-20</td><td>Johnson</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>4735605</td><td>1988-04-05</td><td>Swartz</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>4886491</td><td>1989-12-12</td><td>Parisi et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5419761</td><td>1995-05-30</td><td>Narayanan et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5499991</td><td>1996-03-19</td><td>Garman et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5569291</td><td>1996-10-29</td><td>Privitera et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>5584847</td><td>1996-12-17</td><td>Duluco et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>5690664</td><td>1997-11-25</td><td>Sauer et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>5797944</td><td>1998-08-25</td><td>Nobles et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>12</td><td>5810863</td><td>1998-09-22</td><td>Wolf et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>13</td><td>5911700</td><td>1999-06-15</td><td>Mozsary et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>14</td><td>5980493</td><td>1999-11-09</td><td>Smith et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>15</td><td>6078842</td><td>2000-06-20</td><td>Gross et al.</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	3526219	1970-09-01	Balamuth					2	4191191	1980-03-04	Auburn					3	4536180	1985-08-20	Johnson					4	4735605	1988-04-05	Swartz					5	4886491	1989-12-12	Parisi et al.					6	5419761	1995-05-30	Narayanan et al.					7	5499991	1996-03-19	Garman et al.					8	5569291	1996-10-29	Privitera et al.					9	5584847	1996-12-17	Duluco et al.					10	5690664	1997-11-25	Sauer et al.					11	5797944	1998-08-25	Nobles et al.					12	5810863	1998-09-22	Wolf et al.					13	5911700	1999-06-15	Mozsary et al.					14	5980493	1999-11-09	Smith et al.					15	6078842	2000-06-20	Gross et al.			
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	16	5778894	1998-07-14	Dorogi et al.
	17	6142955	2000-11-07	Farascioni et al.
	18	6152894	2000-11-28	Kubler
	19	6231514	2001-05-15	Lowe et al.
	20	6503263	2003-01-07	Adams

## Remarks

Note: Remarks are not for responding to an office action.

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Signature

Examiner Name	Date